

ROH-037

PCT SECTION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Nationalization  
Application of PCT/JP00/05596

Kazutaka SHIBATA

Application No. To Be Assigned

Filed: April 23 2001

For: SEMICONDUCTOR DEVICE AND  
METHOD OF MANUFACTURING  
THE SAME

Attn: Application Branch

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The applicant, through its representatives and attorneys, hereby brings to the attention of the Examiner the documents identified on the accompanying Form PTO-1449. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT

The documents A2 through A5 and A7 have been cited in the International Search Report, a copy of which is attached hereto. The document A6 is discussed in the specification of this application. English translation of the documents A2, A5,

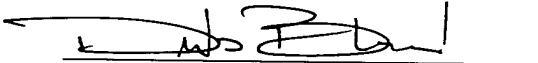
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A6 & A7 are not readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609). The translation of documents A3 and A4 was obtained from the web site of the Japanese Patent Office. Since the translation was made by a computer, the Japanese Patent Office States that the translation may not reflect the original precisely.

Since this Information Disclosure Statement is filed within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application in compliance with 37 C.F.R. §1.97(b)(2), no fee is required in connection with its filing.

It is respectfully requested that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Respectfully submitted,



David K. Benson  
Reg. No. 42,314

Dated: April 23, 2001

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